



HIRC BCM Assessment

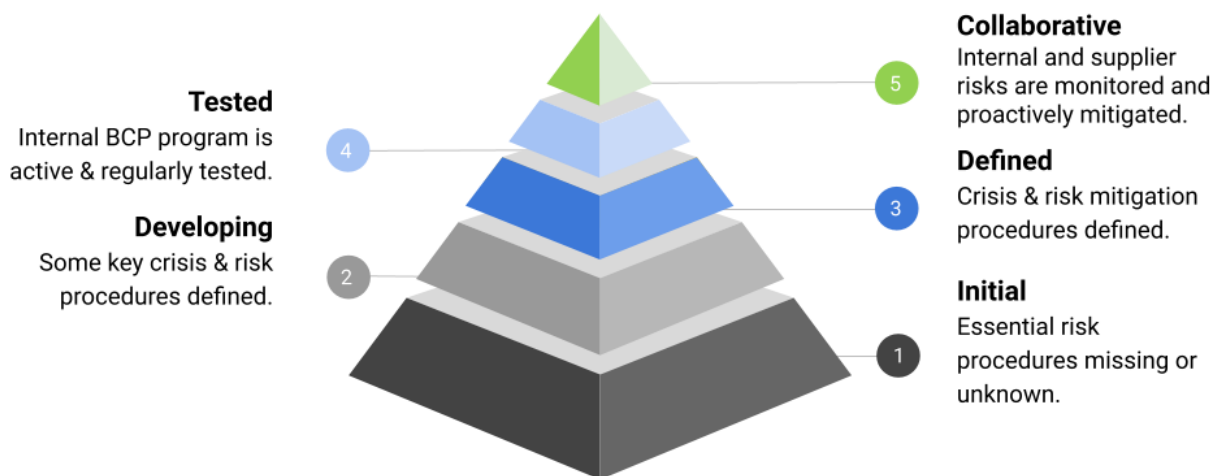
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Summary

Business Continuity Maturity (BCM) is a programmatic approach to protect critical operations through planning and preparedness. BCM is a formal discipline and involves a systematic approach. Members developed a standard BCM assessment as a derivative of ISO 22301.

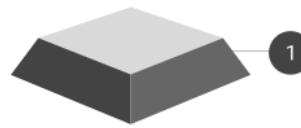
The standard enables suppliers to respond to one assessment and share responses with customers they choose. Intent is to help assess BCM fundamentals. This is one element of resiliency. Suppliers may choose to respond via Supply Risk Solutions at no cost.

Model



Initial

- Approved BCM (Q1)
- Crisis Plan (Q14)
- Recovery Timeframe (Q19)



Initial

Essential risk procedures missing or unknown.

Developing

- Product BCM (Q2)
- Pandemic Plan (Q5)
- BIA (Q9)
- Communications Plan (Q17)
- Recovery Capacity (Q20)
- Organizational Monitoring (Q25)



Developing

Some key crisis & risk procedures defined.

Defined

- BCM Adherence (Q3)
- BCM Improvement Plan (Q6)
- BIA Mitigation (Q11)
- Crisis Testing (Q15)
- Recovery Testing (Q22)



Defined

Crisis & risk mitigation procedures defined.

Tested

- Supplier Monitoring (Q26)
- Supplier BCP Collection (Q28)
- Supplier BCP Feedback (Q29)
- Supplier Contacts (Q30)
- Supplier Impact Survey (Q31)
- Single Source Alternatives (Q32)



Tested

Internal BCP program is active & regularly tested.

Collaborative

- BCM fundamentals of levels 1-4 met



Collaborative

Internal and supplier risks are monitored and proactively mitigated.

#	BCM Program	Response	*
1	Does your organization have a written Business Continuity Management (BCM) program or policy document that has been formally approved by accountable senior management?	Yes No	1
2	What percentage of your organization's products and services is covered by a written BCM program?	*Percent	2
3	Does your organization adhere to the requirements of a BCM program approved by accountable senior management?	Yes No	3
4	When was the most recent review, if any, by your organization's senior management to ensure the effectiveness of the BCM program?	Date	-
5	Does your organization have a written pandemic plan that covers all locations critical to fulfillment of its customer agreements?	Yes Partial No	2
6	Does your organization adhere to a formal process to identify opportunities for BCM program improvement and to implement documented improvement actions?	Yes No	3
7	Please provide a recent example and date showing how your organization improved its BCM program.	-	-
8	Additional explanation (optional).	-	-

#	BIA and Risk Assessment	Response	*
9	Does your organization conduct on a defined periodic basis, a business impact assessment (BIA) that estimates the impact of an outage in critical functions?	Yes No	2
10	If you answered Yes to the previous question, when was the most recent review of your organization's business impact analysis and risk assessment?	Date	-
11	Does your organization adhere to a formal process to review and mitigate gaps and risks identified during the business impact analysis and risk assessment?	Yes No	3
12	Which of the following are included in the Business Impact Analysis (BIA) or risk assessments? Choose all that apply. *List: critical functions, critical products or services, disruptive threats, impact over time, restoration time frames, partners and suppliers, other, N/A	*See List	-
13	Additional explanation (optional).	-	-

#	Crisis Response	Response	*
14	Does your organization have a written and approved crisis response plan that includes a designated crisis response team(s)?	Yes No	1
15	Does your organization conduct proactive crisis response testing that adheres to written testing requirements of your BCM program?	Yes No	3
16	If you answered Yes to the previous question, when was the most recent proactive crisis response test?	Date	-

17	Does your organization have a written procedure for crisis communications to employees as well as to external stakeholders including customers, suppliers, and contractors?	Yes Partial No	2
18	Additional explanation (optional).	-	-

#	Recovery	Response	*
19	What percentage of your organization's critical functions are covered by a written recovery plan that includes a recovery time objective?	*Percent	1
20	Does your organization have written procedures to sustain the delivery of products and services at a specified capacity despite disruption events?	Yes No	2
21	Which of the following resources are included in your written recovery plan(s)? Choose all that apply. *List: data, equipment, facilities, finance, IT, logistics, partners and suppliers, people, products and services, supply chain, other, N/A	*See list	-
22	Does your organization conduct proactive recovery plan testing that adheres to the written testing requirements of your BCM program?	Yes No	3
23	If you answered Yes to the previous question, when was the most recent proactive recovery plan test?	Date	-
24	Additional explanation (optional).	-	-

#	Threat Monitoring	Response	#
25	What percentage of your organization's product or service production locations is monitored for disruption events?	*Percent	2
26	What percentage of supplier locations that produce inputs used to fulfill your customer agreements does your organization monitor for disruptions?	*Percent	4
27	Additional explanation (optional).	-	-

#	Supplier Risk Management	Response	#
28	For what percentage of your critical suppliers does your organization request evidence of a written business continuity management (BCM) program?	*Percent	4
29	For what percentage of your critical suppliers does your organization review their BCM program and provide feedback?	*Percent	4
30	For what percentage of supplier locations that produce inputs used to fulfill your customer agreements does your organization maintain emergency contact information?	*Percent	4
31	Does your company contact suppliers whenever their critical product or service locations are in a disaster event area in order to determine impact?	Yes Partial No	4

32	For what percentage of single-source suppliers that produce inputs used to fulfill your customer agreements has your organization identified an alternate source?	*Percent	4
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More information @ <https://pages.hircstrong.com/standards-bcm-assessment>

Problem

Existing standards for BCM assessment (e.g. ISO 22301) are labor intensive and costly. Few healthcare suppliers currently possess this level of industry certification. Providers lack a practical toolset by which to validate whether a trading partner has appropriate BCM fundamentals in place. Suppliers lack a common framework by which to communicate and provide evidence to their resiliency preparations.

Background

Business Continuity Management

Implementing and maintaining a business continuity management (BCM) program is essential to the development of business continuity plans to ensure continuous delivery of products and services to customers.

Purpose

A BCM program is intended to prepare for, provide and maintain controls and capabilities for managing an organization’s overall ability to continue to operate during disruptions within acceptable time frames at predefined capacity.

Business Continuity Plan

Documented information that guides an organization to respond to a disruption and resume, recover and restore the delivery of products and services consistent with its business continuity objectives.

Assessment

An effective business continuity plan will:

- Safeguard personnel, contractors, vendors, and other constituents
- Meet the requirements to continue and recover critical organization functions
- Protect the organization's critical functions and assets
- Reduce the likelihood of disruption
- Shorten the period of disruption

Recommendation

Deploy this framework for all essential supply chain relationships. Future iterations may be augmented by evidenced based reviews to further validate BCM practices. Such reviews could conceptually be self-serve and validated by the organization's internal audit.

FAQ

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